

MAY-21-2004 12:03

SYMYX TECHNOLOGIES INC

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P.05

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

22905 7590

RECEIVED

SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051MAR 23 2004 MDC/JSS  
JHASymyx Technologies  
Legal Department

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Suzanne Shadley	(Depositor's name)
Suzanne Shadley	(Signature)
5-21-04	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/679,127	10/03/2000	Mingjun Liu	2000-073	7288

TITLE OF INVENTION: ABA-TYPE BLOCK COPOLYMERS HAVING A RANDOM BLOCK OF HYDROPHOBIC AND HYDROPHILIC MONOMERS AND METHODS OF MAKING SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	06/17/2004 ✓

EXAMINER	ART UNIT	CLASS-SUBCLASS
MULLIS, JEFFREY C	1711	525-242000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

## (A) NAME OF ASSIGNEE

## (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Symyx Technologies, Inc.

Santa Clara, California

Please check the appropriate assignee category or categories (will not be printed on the patent):  individual  corporation or other private group entity  government

## 4a. The following fee(s) are enclosed:

Issue Fee  
 Publication Fee  
 Advance Order - # of Copies \_\_\_\_\_

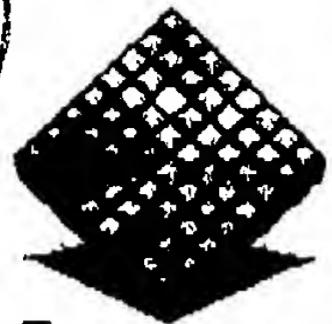
## 4b. Payment of Fee(s):

A check in the amount of the fee(s) is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-04916 (enclose an extra copy of this form).

Director for Parents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) 	(Date) 05/24/2004	05/24/2004 AWONDAF2 00000003 500496 09679127
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.	01 FC:1501	1330.00 DA
This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.		
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.		

TRANSMIT THIS FORM WITH FEE(S)



**Symyx®**

SYMYX TECHNOLOGIES INC

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**SYMYX TECHNOLOGIES, INC.**  
3100 CENTRAL EXPRESSWAY  
SANTA CLARA, CA 95051  
PHONE: 408-720-2598  
FAX: 408-773-4029

### **FAX TRANSMITTAL SHEET**

<b>Date:</b>	<b>May 21, 2004</b>	<b>From:</b>	<b>James H. Ackley</b>
<b>To:</b>	<b>Mail Stop Issue Fees Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450</b>	<b>Reg. No.</b>	<b>45,695</b>
		<b>Docket:</b>	<b>2000-073</b>
		<b>Fax No:</b>	<b>(703) 746-4000</b>

**Number of pages included in this fax: 5**

In re Application of:	Liu et al.	Confirmation No.	7288
Serial No.:	09/679,127	Group Art Unit:	1711
Filed:	10/3/00		
For:	ABA-Type Block Copolymers Having A Random Block Of Hydrophobic And Hydrophilic Monomers And Methods Of Making Same	Examiner:	Mullis, J.

**Dear Sir:**

**Enclosed please find copies of the following documents to be filed regarding the  
above identified patent application:**

- **Transmittal (3 pages)**
- **Issue Fee Transmittal – Part B (1 page)**

**Please enter these into the file and contact us if necessary.**

**Respectfully Submitted,**  
**James H. Ackley**  
**Reg. No. 45,695**

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MAY 21-2004 12:02

SYMYX TECHNOLOGIES INC

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Docket No. 2000-073  
PATENT

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office at facsimile number (703) 746-4000 addressed to: Mail Stop ISSUE FEES, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 21, 2004.

BY: Suzanne Shadley  
Suzanne ShadleyIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Liu et al.	Confirmation No.	7288
Serial No.:	09/679,127	Group Art Unit:	1711
Filed:	10/3/00		
For:	ABA-Type Block Copolymers Having A Random Block Of Hydrophobic And Hydrophilic Monomers And Methods Of Making Same	Examiner:	Mullis, J.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

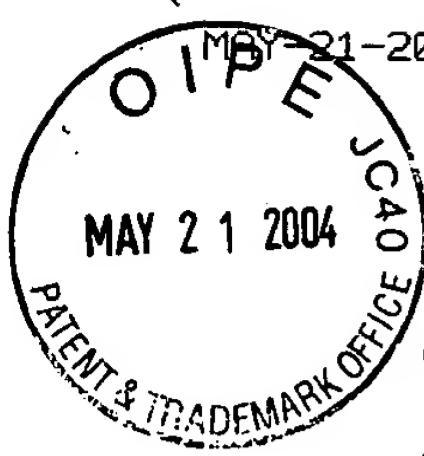
Sir:

TRANSMITTAL LETTER

Transmitted herewith (check all that apply):

<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Supplemental Information Disclosure Statement
<input type="checkbox"/> Response/Amendment C	<input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)
<input type="checkbox"/> Response/Amendment After Final	<input type="checkbox"/> Formal Drawings
<input type="checkbox"/> Supplemental Amendment	<input type="checkbox"/> Declaration Under 37 CFR 1.131
<input type="checkbox"/> Affidavits/Declarations	<input type="checkbox"/> Declaration Under 37 CFR 1.132
<input type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Supplemental Declaration	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Request for Refund
<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Appeal
<input type="checkbox"/> Associate Power of Attorney	<input type="checkbox"/> Petition for Extension of Time (2 months)
<input checked="" type="checkbox"/> Issue Fees Transmittal	

to be filed in the above-identified patent application.



MAY 21-2004 12:02

SYMYX TECHNOLOGIES INC

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Docket No. 2000-073  
PATENT**TOTAL AMOUNT OF PAYMENT: \$1,330.00****METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance**FEE CALCULATION****1. EXTRA CLAIM FEES**

			Extra Claims	Fee from below	Fee Paid	
Total Claims	30	-39** =	0	X		=
Independent Claims	8	-7** =		X		=
Multiple Dependent Claims (first appearance) \$280/140						

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>				

Docket No. 2000-073

PATENT

## 2. ADDITIONAL FEES

Large Entity Fee Code	Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive – unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	1,330.00
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)				SUBTOTAL (3) \$1,330.00	

\*Reduced by Basic Filing Fee Paid

Date: 5-21-04

Respectfully submitted,

James H. Ackley  
Reg. No. 45,695  
Attorney for Applicant(s)

Symyx Technologies  
3100 Central Expressway  
Santa Clara, California 95051  
Tel.: (408) 720-2598 Fax: (408) 773-4029